

1 **To respond or not to respond: Exploring empathy-related**
2 **psychological and structural brain differences between placebo**
3 **analgesia responders and non-responders**

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16
17 **Number of words:** 8067

18 **Number of figures:** 3

19
20 **Keywords:** placebo response, placebo analgesia, pain, empathy, non-responder, structural MRI,
21 prosocial behavior

22 **Abstract**

23 Placebo responsiveness is highly variable across individuals. In the domain of pain, it may
24 range from pronounced hypoalgesia to no response at all. Which factors predict such variation awaits
25 clarification, as the available literature is characterized by mixed and inconclusive results.
26 Particularly interesting in this case are social factors such as empathy or prosocial behavior, as prior
27 work has stressed the connection between feeling pain yourself and empathizing with pain observed
28 in others. In a mixed confirmatory and exploratory approach, this study investigated potential
29 psychological and structural brain differences between placebo responders and non-responders in the
30 domain of pain. We aggregated data of four behavioral and neuroimaging studies that had been
31 designed to investigate the effects of placebo analgesia on empathy. Analyses comparing groups of
32 placebo responders and non-responders showed significant group differences in trait characteristics,
33 with responders reporting increased helping behavior and lower psychopathic traits compared to non-
34 responders. Uncorrected results further showed higher pain-related empathic concern in responders
35 vs. non-responders. These results were accompanied by tentative group differences in brain
36 structure: placebo analgesia non-responders exhibited increased gray matter volume in left inferior
37 temporal and parietal supramarginal cortical areas, and an increased cortical surface area in bilateral
38 middle temporal cortex. Together, our findings suggest that modifiability of one's pain perception by
39 means of placebo effects is linked to personality traits characterizing social emotions and behavior.
40 They also hint that these psychological as well as brain structural characteristics might be beneficial
41 for the identification of placebo responders. At the same time, they stress the importance of
42 considering contextual factors such as the study setting or paradigm when investigating the
43 association between individual characteristics and placebo responding.

44 **Contribution to the field**

45 The question “What makes people respond to placebos?” has been puzzling the scientific
46 community for years, with no clear answer until today. It seems that placebo responsiveness can be
47 linked to personality traits such as optimism or neuroticism, while evidence on neurobiological
48 markers is still scarce. Furthermore, prior work has stressed the connection between feeling pain
49 yourself and empathizing with pain observed in others, which brought forth the hypothesis that our
50 placebo responsiveness, i.e. reduced pain in response to an inert, non-pharmacological treatment,
51 might be related to social characteristics such as empathy or prosocial behavior. Pooling data from
52 four studies, we conducted a systematic investigation into the relationship between placebo
53 responding and psychological as well as neural markers assessed in those studies. We contribute
54 evidence that placebo responsiveness is associated with increased prosocial tendencies and reduced
55 psychopathic traits, as well as tentative evidence for increased empathic concern for the pain of
56 others. We further show that responders differ from non-responders regarding their gray matter
57 volume and surface area in inferior and middle temporal gyri.

58 **1 Introduction**

59 The placebo effect is a powerful phenomenon with the extraordinary potential to improve
60 health-related outcomes in the brain and body. Over the past decades our understanding of its
61 mechanisms and neurobiology has tremendously improved. But who responds to placebos? And is
62 there a so-called “placebo personality” that may aid in selecting better who responds to certain
63 treatments? These are pressing questions that so far have not been satisfactorily answered, especially
64 as placebo responsiveness is highly variable across individuals. Despite many years of research,
65 evidence regarding characteristics that distinguish placebo responders from non-responders is still
66 ambiguous (Jakšić et al., 2013; Vachon-Preseu et al., 2018), although some empirical evidence
67 points to the importance of social abilities such as empathy and helping behavior (Colloca &
68 Benedetti, 2009). Moreover, recent work has highlighted the strong connection between one’s own
69 pain processing and one’s ability to empathize with others in pain (Rütgen et al., 2015). The aim of
70 this study was to provide more clarity through the interrogation of a large-scale joint data set from
71 four existing placebo analgesia studies. We utilized several personality traits as well as three
72 measures of brain structure to elucidate whether these differ between placebo responders and non-
73 responders.

74 The term “placebo” refers to an inert substance or sham intervention (e.g., a sugar pill) that is
75 administered as part of a complex psychosocial setting (Benedetti et al., 2018; Peiris et al., 2018). Its
76 administration evokes expectancies, memories, and feelings which in turn lead to beneficial effects in
77 the participant (Wager and Atlas, 2015). Accordingly, the placebo effect arises from an interplay of
78 multiple contextual and personal factors. On the biological level, the psychosocial setting recruits
79 the central nervous system as well as system-specific peripheral mechanisms (Colagiuri et al., 2015).
80 Placebo analgesia studies have revealed involvement of the descending pain modulatory network,
81 activation of the endogenous opioid system, and decreased activity in classic pain processing areas
82 (Petrovic et al., 2002; Elsenbruch et al., 2012; Peciña and Zubieta, 2015; Schedlowski et al., 2015;
83 Zunhammer et al., 2021 for review). However, activation changes are not exclusive to pain-related
84 areas. Increased activation in frontal areas, such as the dorsolateral prefrontal cortex and orbitofrontal
85 cortex, are thought to be implicated in the maintenance and update of expectations and context
86 information (Atlas and Wager, 2014; Colagiuri et al., 2015). Furthermore, changes in the amygdala
87 and the striatum suggest involvement of regions implicated in emotion, reward, and value (Atlas and
88 Wager, 2014).

89 High placebo responsiveness has been discussed as a potential reason for the failure of many
90 randomized placebo-controlled clinical trials - the gold standard for the confirmatory proof of
91 efficacy of new pharmaceuticals - as it may hamper the demonstration of a drug’s efficacy (Enck et
92 al., 2013; Stahl and Greenberg, 2019). Thus, information about future placebo responses of
93 individuals is considered highly valuable for research and practice. Most intriguing, studies have
94 shown that placebo responsiveness seems to be highly variable across individuals, with estimates
95 ranging from 10% up to 70% of placebo non-responders (Koban et al., 2013). The knowledge of who
96 will respond to placebos and who will not, could help health practitioners decide which patients will
97 profit most from the placebo component of treatments and thereby allow for a more personalized
98 approach in medical care (Staskin et al., 2012).

99 Considerable effort has been invested to identify key characteristics of placebo responders. A
100 wide range of individual features such as personality, brain structure, and genetics have been
101 investigated, yet the literature still remains inconclusive (Enck et al., 2013; Jakšić et al., 2013; Horing
102 et al., 2014). The earliest studies in this field suffered from major conceptual and methodological
103 problems (Geers et al., 2005), but more recent scientific endeavors were able to demonstrate a set of

104 specific characteristics that seem indeed related to the individual placebo response. Traits such as
105 optimism, suggestibility, and anxiety have been linked to the magnitude of placebo responding (Corsi
106 and Colloca, 2017) and the most consistent findings regards dispositional optimism, which is
107 conceptualized as a “generalized positive outcome expectancy for the future” (Scheier and Carver,
108 1987; Morton et al., 2009; Geers et al., 2010; Kern et al., 2020). On the other hand, a recent meta-
109 analysis and review of the past literature did not find consistent evidence of associations between 10
110 different personality traits and the magnitude of placebo effects, nor evidence for moderators such as
111 the type of placebo manipulation (Kang et al., 2022). Previous work is sharply divided when it comes
112 to quantifying the placebo response, implementing either group comparisons or a continuous placebo
113 response. Besides personality traits, brain measures appear very promising for the identification of
114 placebo responders. For instance, it was demonstrated that reduced connectivity between the
115 prefrontal cortex and the rest of the brain in Alzheimer’s disease patients leads to a reduction or even
116 complete loss of the placebo analgesic response (Benedetti et al., 2006). Furthermore, gray matter
117 density of several brain regions including the ventral striatum, insula, and prefrontal cortex, have
118 been shown to correlate with the magnitude of the individual placebo response (Schweinhardt et al.,
119 2009).

120 Several placebo studies indicate that placebo responses are context-specific and occur primarily
121 in interaction with specific contextual - or person-related cues (e.g., Peciña et al., 2013). Therefore,
122 it is suggested that situational as well as individual differences need to be considered. One such
123 person-related aspect is empathy. Empathy can be understood as a multifaceted psychological
124 construct that plays a key role in social interactions and could thus also be crucial for the formation
125 of the placebo effect (Benedetti, 2013). Individual trait empathy scores have been linked to the
126 magnitude of the placebo response. A very interesting finding by Colloca and Benedetti (2009)
127 suggests a positive correlation between the magnitude of the placebo response and self-reported trait
128 empathic concern - a sub-component of the multi-faceted construct of empathy that focuses on how
129 concerned someone is when being exposed to negative emotions of others (Singer and Lamm, 2009
130 for review). Previous work has attributed an important role to empathy in the formation of the
131 placebo response (Colloca and Benedetti, 2009; Benedetti, 2013), however studies which focus on
132 the placebo response–empathy relationship, mostly applied a single empathy questionnaire.
133 Moreover, empathy was not the main focus of the study nor did the authors test for downstream
134 behavioral consequences of empathy, such as helping behavior or empathy-related clinical constructs.
135 Since, empathy is conceptualized as a complex multifaceted construct, taking a closer look at this
136 relationship by including diverse empathy-related trait characteristics is warranted. Furthermore,
137 evidence regarding brain structure correlated to placebo responsiveness is limited. Only a handful of
138 studies reported differences in brain structure between placebo responders and non-responders in
139 diverse brain regions, most of which were located in prefrontal areas (Schweinhardt et al., 2009; Liu
140 et al., 2017).

141 The present study therefore aimed to investigate differences between placebo responders and
142 non-responders from two angles, considering personality as well as biological factors by utilizing
143 questionnaire data and anatomical brain scans as well as their relationship with each other. Utilizing
144 opportunity datasets from four previous studies, we remained largely exploratory, but formulated two
145 hypotheses regarding the behavioral data. More precisely, based on previous findings which
146 demonstrated a positive correlation between empathy levels and the placebo response (Colloca and
147 Benedetti, 2009; Hunter et al., 2014) we hypothesized that placebo responders would show higher
148 trait empathy than placebo non-responders. In addition, we expect prosociality to be higher in
149 placebo responders than placebo non-responders as well, given its positive relation with trait empathy
150 (e.g., Stevens & Taber, 2021). We had no clear expectations regarding other empathy-related, clinical
151 questionnaires. Moreover, based on the limited number of studies on brain structure and placebo

152 responding, we chose an exploratory whole-brain analysis for the present study. In particular, we
 153 hypothesized that placebo responders and placebo non-responders would show significant differences
 154 in gray matter volume (GMV), cortical surface area (CSA) and cortical thickness (CT), but we
 155 remained open as to the exact regions.

156 **2 Materials and Methods**

157 In line with the 21-word statement by Simmons et al. (2012), we report how we determined our
 158 sample size, all data exclusions, all manipulations, and all measures in the study.

159 **2.1 Dataset and participants**

160 We included previously collected data of 237 healthy right-handed participants (140 females
 161 and 97 males, $M_{age} = 23.64$, $SD_{age} = 3.14$, age range = 18–39 years) in the present study (see Table 1).
 162 This sample consisted of data from four placebo analgesia studies, which were conducted (in
 163 independent samples, i.e. no participant partook in more than one study) in the time period between
 164 2013 to 2020 and had separate research questions and hypotheses (Study 1: Rütgen et al., 2015 ;
 165 Study 2: unpublished; Study 3: Hartmann et al., 2021; Study 4: Hartmann et al., 2022). An extensive
 166 overview of all exclusion criteria used in each study can be found in Table 2. All participants gave
 167 written consent prior to participation, were debriefed about any deceptive measures at the end of the
 168 respective studies and received appropriate monetary compensation for their participation. All studies
 169 were performed in accordance with the Declaration of Helsinki (2013). The ethics committee of the
 170 Medical University of Vienna approved the study procedures of Study 1, Study 2, and Study 3, and
 171 the University of Vienna’s ethics committee approved Study 4.

172 *– Tables 1 and 2 here –*

173 **2.2 Procedure**

174 **2.2.1 Individual study designs**

175 We employed a data-driven approach, combining and analyzing the joint data of four
 176 studies. All studies were conducted in the same working group and thus used highly comparable
 177 study designs (pain calibration, employed tasks and questionnaires, study location, overall setting,
 178 etc.) and, most importantly, the same placebo induction procedure. This allowed the
 179 combination of the individual study data into a single collective dataset. Study 1, Study 2, and Study
 180 3 were MRI studies, providing behavioral as well as structural brain data. Study 4 was a behavioral
 181 experiment without neuroimaging and therefore provided only behavioral data (for an overview of
 182 study measures, see Table 1). All studies used oral administration of a placebo pill, except for Study
 183 3 (Hartmann et al., 2021) in which a placebo gel was applied to the back of the hand. Studies 1 and 4
 184 both used a between-subjects design, and thus included separate placebo and control samples. In
 185 contrast to this, Study 2 used a within-subjects design with placebo and control sessions on separate
 186 days (order counterbalanced across the sample). Study 3 also used a within-subjects design, but the
 187 right hand was the placebo condition and the left hand was the control condition (order
 188 counterbalanced as well).

189 **2.2.2 Placebo induction**

190 The placebo induction procedure was similar in all four studies. In an initial pain calibration
 191 phase, participants received electrical stimuli to the back of their hand via a Digitimer DS5 Isolated
 192 Bipolar Constant Current Stimulator (Digitimer Ltd, Clinical & Biomedical Research Instruments)

193 and were asked to rate the painfulness of each stimulus. This resulted in reliable average ratings for
194 non-painful and painful stimuli. Following the calibration phase, an experimenter disguised as a
195 study doctor in a white lab coat presented and administered the placebo pain medication (either in pill
196 or gel form) using a combination of verbal suggestions and classical conditioning techniques. The
197 study doctor first informed the participants about any potential side effects of the medication and
198 emphasized that the medication was a highly effective and commonly used painkiller. Importantly,
199 the study doctor made clear that the goal of the study was not to test the effectiveness of the
200 medication, as this was already well-established, but instead to look at its effects in different tasks.
201 Directly after those instructions, participants were asked to rate their belief in the effectiveness of the
202 medication, followed by a 10-15 min waiting period for the medication to “take effect”. To further
203 amplify the placebo effect, each study employed a classical conditioning procedure, in which
204 participants underwent multiple rounds of receiving electrical stimulation. Participants were told they
205 would receive high-intensity stimuli they had rated as very painful before to check the effectiveness
206 of the medication. In reality, they received stimuli of an intermediate intensity to suggest pain relief.
207 The intensities given in each conditioning procedure were taken from individual pain ratings during
208 the “pre-placebo-induction” calibration phase. After the conditioning phase, participants were once
209 again asked to rate their belief in the effectiveness of the medication. Participants then completed
210 different tasks unrelated to this study, either in or outside of the MR scanner.

211 **2.2.3 Placebo response classification**

212 To investigate behavioral and structural differences between placebo responders and non-
213 responders, the present study used the a-priori criteria set by the previous studies to divide
214 participants into placebo analgesia responders and non-responders. Although pain ratings are another,
215 more objective way to measure placebo responding, we decided to stick with these criteria for three
216 reasons: 1) in order to stay consistent with the classification of the here included studies; 2) because
217 those criteria have been successfully employed in our lab in multiple experiments to distinguish
218 responders from non-responders; and 3) because the inclusion of pain ratings as a criterion was
219 hindered by the fact that studies were a mix of between- and within-subject designs. In all studies,
220 participants’ placebo analgesia responses had been assessed using a combination of three main
221 measures, a procedure established as part of the first conducted Study 1 (see Rütgen et al. (2015) for
222 a more detailed description) and used subsequently in all other studies: doubts, beliefs about
223 medication effectiveness, and number of conditioning trials.

224 First, in every study, doubts that the participants mentioned regarding the deceptive cover story
225 were recorded, specifically about the medication administration and study doctor. In case of serious
226 doubts mentioned independently by the participant throughout the experiment or via follow-up
227 questions after the experiment (e.g., did not believe that they got a real painkiller, believed that the
228 study doctor was fake, etc.), participants were classified as non-responders.

229 Second, the belief ratings regarding the medication effectiveness obtained before and after the
230 conditioning phase were taken into account. If the total belief was very low (sum of the pre-
231 and post-conditioning rating < 6 , on a scale ranging from 1 = “not effective at all” to 7 = “very
232 effective” or its equivalent on a different scale) or if the belief strongly decreased from the first to the
233 second rating (> 3 or its equivalent on a different scale), participants were classified as non-
234 responders.

235 Third, the number of conditioning trials needed to suggest an analgesic response to the
236 medication was measured. If participants responded with a high rating to the conditioning stimulus
237 delivered with an intermediate intensity, the trial was deemed unsuccessful and repeated after a short
238 waiting period. This procedure was repeated as often as necessary until participants rated the stimuli

239 as intermediate. If four or more of these conditioning trials were needed (in other words, participants
240 still perceived the stimuli as very painful under placebo analgesia), participants were classified as
241 non-responders.

242 Study 3 used an additional fourth criterion, as this study included a direct comparison of the
243 participants' hands in a pain task done after the placebo analgesia induction. Pain ratings were
244 compared for the left (control condition) and right (placebo condition) hand. If the average rating of
245 the right hand stimuli was higher than the one for the left hand, participants were classified
246 as non-responders. We thus used the grouping resulting from each study to arrive at our two groups.

247 **2.3 Measures**

248 **2.3.1 Behavioral data**

249 In each study, participants had completed a battery of questionnaires measuring different
250 dispositional psychological constructs. For this study, we selected all questionnaires measuring
251 empathy and prosocial behavior as well as empathy-related psychiatric conditions, psychopathy, and
252 depression (see Table 1 for an overview of all questionnaires and which were included in which
253 study). Importantly, not all studies administered all questionnaires, leading to different sample sizes
254 in our group analyses (see also Table 2 below). We included a total of 25 subscales out of eight
255 self-report questionnaires (22 out of which were empathy-related), which are detailed below.

256 The Empathy Components Questionnaire (ECQ; Batchelder et al., 2017) measures cognitive
257 and affective empathy components, but divided into ability and drive components. It consists of five
258 subscales, (1) cognitive ability, the skill, capacity or potential in perspective taking and to adopt
259 another's point of view; (2) cognitive drive, the motivated interest or tendency in perspective-taking,
260 i.e., to adopt another's point of view; (3) affective ability, the skill, capacity or potential in
261 recognizing, being sensitive to and sharing others' emotional experiences; (4) affective drive, the
262 motivated interest or tendency in recognizing, being sensitive to and sharing others' emotional
263 experiences; and (5) affective reactivity, the emotional response and reaction to other's emotional
264 experiences. Similar to the QCAE, combining all components yields a cumulative total empathy
265 score. The 27 items are rated on 4-point Likert scales ranging from 'strongly disagree' to 'strongly
266 agree'.

267 The Empathy for Pain Scale (EPS; Giummarra et al., 2015) assesses empathy specific to seeing
268 another individual in pain. It consists of three subscales: (1) affective distress, feelings of distress,
269 discomfort, fear, avoidance, restlessness, and visceral sensations; (2) empathic concern, feelings of
270 compassion, desire to help, and state empathy; and (3) vicarious pain, feelings of both non-painful
271 and painful vicarious sensations. Across four different scenarios (a person undergoing a surgical
272 procedure, a person who has recently had a surgical procedure, a person being accidentally injured,
273 and a person being physically assaulted), 12 identical items are rated on 5-point Likert scales, ranging
274 from 'strongly disagree' to 'strongly agree'.

275 The Interpersonal Reactivity Index (IRI; Davis et al., 1980; Davis, 1983) is one of the most
276 frequently used self-report questionnaires to measure empathy. It is a multidimensional measure
277 assessing cognitive and affective empathy across four subscales: (1) Fantasy, the tendency to
278 transpose imaginatively into fictional situations; (2) perspective taking, the tendency to adopt the
279 perspectives of others; (3) empathic concern, the tendency to have feelings of warmth, compassion,
280 and concern for others; and (4) personal distress, the tendency to have feelings of discomfort and
281 anxiety when witnessing others' negative experiences. The subscales fantasy and perspective taking
282 aim to measure cognitive aspects, whereas the subscales empathic concern and personal distress aim
283 to measure affective aspects of empathy. Each subscale consists of seven items (28 items in total) that

284 are each rated on a 5-point Likert scale ranging from ‘does not describe me well’ to ‘describes me
285 very well’.

286 The Questionnaire of Cognitive and Affective Empathy (QCAE; Reniers et al., 2011) also
287 provides subscales for cognitive and affective empathy, but draws a more clear distinction between
288 these two components. Cognitive empathy is measured using the subscales (1) perspective taking, the
289 tendency to put oneself in another person’s shoes to see things from his or her perspective and (2)
290 online simulation, the effortful attempt to put oneself in another person’s position by imagining what
291 this other person is feeling. Affective empathy is measured using three subscales: (3) Emotional
292 contagion, the automatic tendency to mirror the feelings of others (4) proximal responsivity, the
293 affective response when witnessing the mood of others in a close social context; and (5) peripheral
294 responsivity, similar to proximal responsivity but in a detached context. Combining all five
295 subcomponents provides a cumulative total empathy score. All 31 items are rated on 4-point Likert
296 scales with response options ranging from ‘strongly disagree’ to ‘strongly agree’.

297 The Vicarious Pain Questionnaire (VPQ; Grice-Jackson et al., 2017) consists of 16 ten-second
298 videos of people going through painful experiences (such as sports injuries or getting injections; for
299 all video stimuli see <https://www.youtube.com/channel/UCT8goTgWGRsu14NjVaPCSGw/videos>)
300 and measures the extent to which participants experience physical sensations of unpleasantness
301 and/or pain when watching these situations. After watching each video, participants are asked to
302 report whether and how intense they experienced pain in their own body on a scale of 1-10, anchored
303 from ‘very mild’ to ‘intense pain’. These two measures are summed up to a total number of pain
304 responses ranging from 0 to 16, and the average pain intensity of those responses. Participants are
305 further prompted to report the localisation of the felt pain and describe their experience using a list of
306 adjectives, including 10 sensory, 10 affective, and 3 cognitive adjectives (see
307 <https://youtu.be/iYkVmLfAt6w> for more details on the analysis and score calculation). These
308 variables are used to compute two indices regarding localized vs. generalized and sensory vs.
309 affective experiences. Irrespective of whether participants experienced any vicarious pain, the general
310 frequency of such experiences in everyday life is measured on a 10-point Likert scale from ‘hardly
311 ever’ to ‘very regularly’.

312 The Helping Attitudes Scale (HAS; Nickell, 1998) is a 20-item measure of respondents’
313 beliefs, feelings, and behaviors associated with helping. Each item is answered on a 5-point Likert
314 scale from ‘strongly disagree’ to ‘strongly agree’ and summed up to one total score.

315 The Psychopathy subscale of the Short Dark Triad (SD3; Jones and Paulhus, 2013) measures
316 participants’ socially aversive, psychopathic behavior. The nine items sum up to one total score.

317 Lastly, the revised Beck Depression Inventory (BDI-II; Kühner et al., 2007) assesses acute
318 depressive symptomatology using 21 items corresponding to symptoms of depressions as listed in the
319 Diagnostic and Statistical Manual of Mental Disorders (American Psychiatric Association, 2013).
320 Participants rate the acute severance of the respective symptoms on a 4-point scale, which are then
321 summed up to one total score.

322 In addition, we used data from the Toronto Alexithymia Scale (TAS; Bagby et al., 1994) and
323 the Autism Quotient (AQ-k; Freitag et al., 2015) to check for group differences and possible
324 covariates.

325 **2.3.2 Brain data**

326 For Study 1, structural MRI data were obtained at the Medical University of Vienna, using a 3
327 Tesla Siemens Tim Trio scanner with a 32-channel head coil. T1-weighted scans of the whole brain
328 were acquired with a magnetization-prepared rapid gradient-echo sequence with the following

329 parameters: TE/TR = 4.21/2,300 ms, 160 sagittal slices, voxel size = $1.0 \times 1.0 \times 1.1$ mm, field of
 330 view = 256 mm. In Studies 2 and 3, data were obtained at the University of Vienna's MRI Center,
 331 using a 3 Tesla Siemens MAGNETOM Skyra with a 32-channel head coil. T1-weighted scans of the
 332 whole brain were acquired with a magnetization-prepared rapid gradient-echo sequence. Parameters
 333 in Study 2 were TE/TR = 2.29/2,300 ms, 176 sagittal slices, voxel size = $0.9 \times 0.9 \times 0.9$ mm, field of
 334 view = 240 mm; and in Study 3: TE/TR = 2.43/2,300 ms, 208 sagittal slices, voxel size = $0.8 \times 0.8 \times$
 335 0.8 mm, field of view = 240 mm.

336 2.4 Data analysis

337 2.4.1 Behavioral data

338 To analyze the questionnaire data, we performed group comparisons between participants
 339 previously classified as placebo responders and non-responders (see 2.6 above). As we had unequal
 340 sample sizes (i.e., a higher number of responders compared to non-responders) as well as non-
 341 normally distributed data for most questionnaire scales, we used the more robust Welch's *t*-test for all
 342 comparisons (Rasch et al., 2011; Delacre et al., 2017). We also report Cohen's *d* effect sizes as well
 343 as 95% confidence intervals (*CI*s) for all group comparisons. Differences between groups were
 344 considered statistically significant for *p*-values $< .05$. For all significant results, we additionally
 345 report whether the groups differed in terms of age, gender as well as autistic and depressive traits and
 346 the results when including any of those variables into the analysis as a covariate (mean-centered
 347 separately for each group). Homogeneity analyses showed that the questionnaire scores were
 348 comparable between studies (except the localized-generalized dimension of the VPQ ($p < .001$) and
 349 the Empathic Concern subscale of the IRI ($p = 0.42$)). We also exploratorily re-ran our analyses in a
 350 linear mixed model with study as a random factor (questionnaire score \sim Responder + (1|Study)). We
 351 further exploratorily conducted outlier identification using the interquartile range criterion (Barbato
 352 et al., 2011) and repeated the behavioral analyses without those outliers, which did not change the
 353 overall results.

354 This study was data-driven, in the sense that the initial data were not collected for the
 355 purpose of the present study. However, as we tested many questionnaires measuring empathy as a
 356 concept, we addressed the issue of type-I error inflation by additionally reporting a correction for
 357 multiple comparisons using the Benjamini-Hochberg adjustment (Benjamini and Hochberg, 1995;
 358 Benjamini and Yekutieli, 2001), which controls the false discovery rate (FDR). While the commonly
 359 used Bonferroni correction is judged as too conservative, especially if hypotheses are non-
 360 orthogonal, the Benjamini-Hochberg adjustment is considered as less stringent and thus resulting in
 361 more powerful tests (García, 2004; Streiner, 2015; Lee and Lee, 2018). In this context, the *p*-values
 362 of all empathy-related tests (ECQ, EPS, IRI, QCAE, and VPQ, with a total of 22 subscales/tests)
 363 were ordered from the smallest to the largest. Each individual *p* value was then compared to its
 364 Benjamini-Hochberg critical value, which was calculated as follows: (rank / total number of tests) \times
 365 FDR (set at 0.05). The largest *p*-value for which $p < \text{critical value}$ was classified as significant and all
 366 *p*-values smaller than the largest value were classified as significant as well. For completion, we
 367 therefore report and discuss both the uncorrected results of the empathy questionnaires as well as the
 368 results after correcting for multiple comparisons. All analyses were conducted in R version 4.1.2.

369 2.4.2 Brain data

370 We used FreeSurfer, a well-validated open source software package that uses a surface-based
 371 approach to process and analyze structural brain data by creating a three-dimensional model of the
 372 cortical surface (versions 6.0.0 and 7.2.0; <http://surfer.nmr.mgh.harvard.edu>; Rosas et al., 2002;
 373 Kuperberg et al., 2003; Fischl, 2012). For preprocessing and analysis, we used the guidelines in the

374 Freesurfer short course provided by Andrew Jahn (Andy's Brain Book,
 375 https://andysbrainbook.readthedocs.io/en/latest/FreeSurfer/FreeSurfer_Introduction.html). We used
 376 Freesurfer's fully automated standard processing pipeline for anatomical brain data, using the recon-
 377 all function. The pipeline consists of multiple stages (for a more detailed description, see Dale et al.,
 378 1999; Fischl et al., 1999; Fischl and Dale, 2000).

379 In brief, T1-weighted images underwent the following steps: Skull stripping, intensity
 380 normalization, gray-white matter segmentation, and topology correction. Reconstruction of cortical
 381 surface models resulted in the gray-white boundary surface as well as the pial surface for each
 382 cortical hemisphere. Subcortical regions were segmented, the cortex was parcellated according to the
 383 Desikan-Killiany atlas (Desikan et al., 2006), and GMV/CSA/CT as measures of brain morphometry
 384 were computed. The resulting maps were smoothed using a 10 mm full-width at half-maximum
 385 Gaussian kernel. A total of 174 brains were preprocessed, but reconstruction of one structural image
 386 failed due to low image quality resulting from excessive movement during scanning. This participant
 387 was excluded, and data analysis was performed on the remaining 173 participants. As the
 388 preprocessing pipeline is fully automatic and errors can occur in this process, we conducted an
 389 extensive manual quality check procedure and followed the steps described in the tutorial by Andrew
 390 Jahn (see link above). We checked both the computed pial and white matter surfaces of the 173
 391 anatomical brain scans by scrolling twice through all brain slices from a coronal view, once focusing
 392 on the temporal lobes (as this region is very error-prone) and once focusing on the rest of the brain. If
 393 the surface lines were not drawn correctly on three or more consecutive slices, we additionally
 394 checked this area in the sagittal and axial view. If the same error was visible in the coronal and one
 395 other (sagittal or axial) view, again in at least 3 consecutive slices, we deemed the error meaningful
 396 and noted it down. In addition to the two surfaces, we also checked for intensity normalization errors
 397 in the white matter. These manual checks were conducted by five individuals (Authors HH and MB
 398 as well as three research interns). All quality checkers were trained on five brains that were checked
 399 by the main experimenters HH and MB to ensure a homogeneous procedure between individuals,
 400 with each brain being checked independently by two of the five people. Non-overlapping errors were
 401 discussed until a consensus was reached on whether or not to include those errors and a model-
 402 preprocessed brain available in Freesurfer was used for comparison, if needed. After this procedure,
 403 major errors were manually corrected using the setting of control points, rerunning parts of recon-all
 404 until the error was not visible anymore. Analyses were then performed on the corrected structural
 405 brain images.

406 To examine structural differences between placebo responders and non-responders, we
 407 computed a whole-brain analysis for both hemispheres and each outcome measure - GMV, CSA and
 408 CT. First, all individual reconstructed cortical surfaces were aligned to an average template using the
 409 `mri_preproc` function in FreeSurfer. Then, intergroup comparisons were performed using vertex-by-
 410 vertex general linear models by applying the `mri_glmfit` function, separate for each hemisphere and
 411 measure. Cluster-wise correction for multiple comparisons was performed for all results using pre-
 412 computed z Monte Carlo simulations within the function `mri_glmfit-sim`, which we used to calculate
 413 cluster-corrected maps for each contrast. A cluster-wise threshold of $p < .05$ was used and the vertex-
 414 wise criterion for statistical significance was set at $p < .001$ (two-sided test). Results are reported
 415 using the contrasts responders vs. non-responders and vice versa.

416 As two different scanners were used over the whole dataset, we also explored the effect of
 417 scanner by including it as a covariate in our whole-brain GLM. We further explored the role of
 418 gender as a covariate (for these additional analyses see Supplement).

419

420 **3 Results**421 **3.1 Behavioral results**

422 Table 3 presents the uncorrected results for each questionnaire subscale, which revealed
 423 significant group differences in three scales, data of which are displayed in Figure 1 and are reported
 424 in the order of the highest to the lowest effect size.

425 First, placebo responders reported to show significantly higher empathic concern specifically
 426 related to pain ($M \pm SD = 3.56 \pm 0.71$) than non-responders ($M \pm SD = 2.99 \pm 0.89$; $t(30.65) = 2.62$, p
 427 $= .013$, $d = 0.71$, 95% $CI [-1.01, -0.13]$) in the EPS. The EPS was only measured in Study 3. Second,
 428 placebo responders self-reported significantly higher prosocial tendencies ($M \pm SD = 78.06 \pm 8.98$)
 429 than non-responders ($M \pm SD = 68.64 \pm 9.74$; $t(13.83) = -2.95$, $p = .011$, $d = 1.01$, 95% $CI [-16.27, -$
 430 $2.57]$) in the HAS. Lastly, we observed that placebo responders reported to show significantly lower
 431 psychopathic traits ($M \pm SD = 17.90 \pm 4.22$) compared to non-responders ($M \pm SD = 22.64 \pm 4.52$;
 432 $t(13.92) = 3.19$, $p = .007$, $d = -1.08$, 95% $CI [1.55, 7.92]$) in the SD3. The HAS and SD3 were only
 433 measured in Study 4. In addition, there was a trend for the proximal responsivity subscale of the
 434 QCAE, whereby placebo responders reported slightly higher values ($M \pm SD = 11.61 \pm 2.28$)
 435 compared to non-responders ($M \pm SD = 10.71 \pm 2.70$; $t(60.83) = -1.95$, $p = .056$, $d = 0.36$, 95% $CI [-$
 436 $1.82, 0.02]$). Importantly, correction for multiple comparisons using the Benjamini-Hochberg
 437 adjustment with an FDR of 0.05, revealed no empathy questionnaire p-value smaller than the
 438 Benjamini-Hochberg critical value. Thus, no significant group differences in any empathy
 439 questionnaire remained after correcting for multiple testing.

440 With two exceptions, we found no significant group differences regarding age, sex or any
 441 autistic/alexithymic/depressive traits in the different questionnaire samples (all p 's $> .073.259$). We
 442 did observe a significantly higher amount of females in the IRI responder sample (114 females, 67
 443 males) compared to the non-responder sample (26 females, 30 males) ($p = .041$). We also found a
 444 significantly higher mean age in responders ($M \pm SD = 23.94 \pm 2.75$) compared to non-responders (M
 445 $\pm SD = 22.38 \pm 2.56$) in the EPS sample ($p = .026$). However, this mean difference of 1.56 years was
 446 relatively small and likely negligible. Including gender, age, autistic traits, depressive traits, or
 447 alexithymic traits separately as covariates did not change the presented results. The exploratory linear
 448 mixed model with study as a random factor revealed the same results as above, but additional group
 449 differences in two empathy questionnaires, the Empathic Concern scale of the IRI ($p = .045$) and the
 450 Proximal Responsivity scale of the QCAE ($p = .028$). In both cases, non-responders had lower scores
 451 than responders. However, these two results did not survive the BH correction for multiple
 452 comparisons.

453

– Table 3 here –

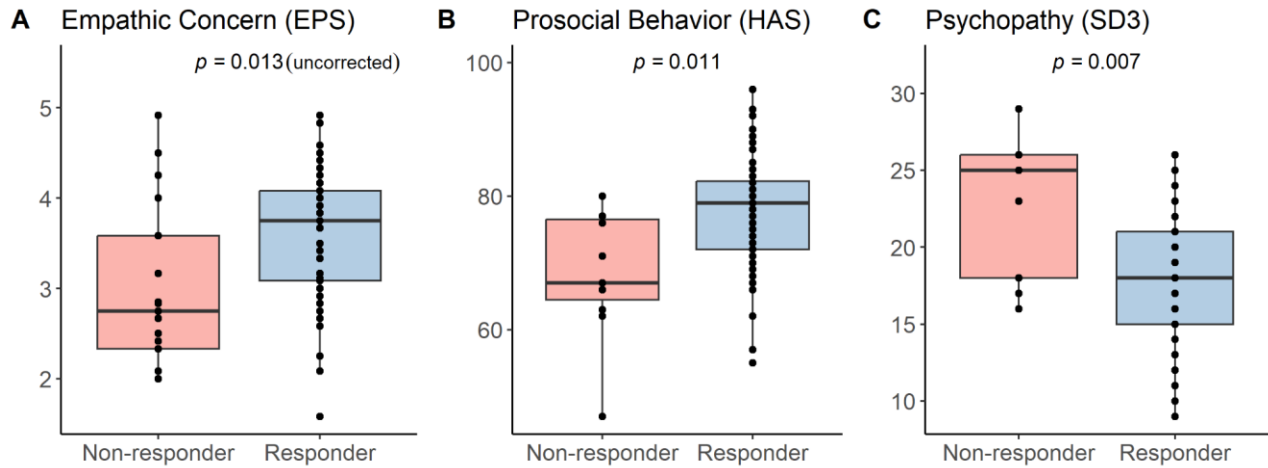


Figure 1. Significant group differences between placebo analgesia responders and non-responders in (A) empathic concern (uncorrected, only measured in Study 3), (B) prosocial behavior (only measured in Study 4), and (C) psychopathy (only measured in Study 4. Correction using the Benjamini-Hochberg adjustment resulted in no significant differences in the empathy subscale (A). Results of all conducted tests including descriptive data and statistics can be found in Table 3.

454 **3.2 Brain results**

455 Next, we investigated structural brain differences on the whole-brain level between the two
 456 groups in our aggregated sample of 173 individuals (see Table 4 and Figure 2). The responders and
 457 non-responders of the brain data sample did not differ significantly regarding age, gender or any
 458 autistic/alexithymic/depressive traits (p 's > .100), but they did differ significantly in their total
 459 intracranial volume (TIV; $t(70.71) = 2.57, p = .012$), their white matter volume ($t(77.59) = 2.33, p =$
 460 $.022$), and their cerebrospinal fluid volume ($t(66.85) = 2.19, p = .032$). As these differences make the
 461 inclusion of them as covariates difficult (Miller and Chapman, 2001), but especially correction for
 462 TIV is routinely done in studies assessing structural brain measures such as CSA and GMV that scale
 463 with TIV, we therefore report whole-brain results with and without correction for TIV.

464 In the contrasts non-responder vs. responder, we found increased GMV in left inferior temporal
 465 and supramarginal cortices (two clusters) and increased CSA in bilateral middle temporal cortex
 466 (three clusters), while there were no differences between the groups regarding CT. We did not find
 467 any significant differences in any of the opposite contrasts (responder > non-responder) in any of the
 468 three measures. Importantly, when re-running the whole-brain GLMs using TIV as a covariate, no
 469 result remained significant at the chosen cluster-wise correction level. Exploratory analyses including
 470 scanner or gender as a covariate are reported in the Supplement.

471 *– Table 4 here –*

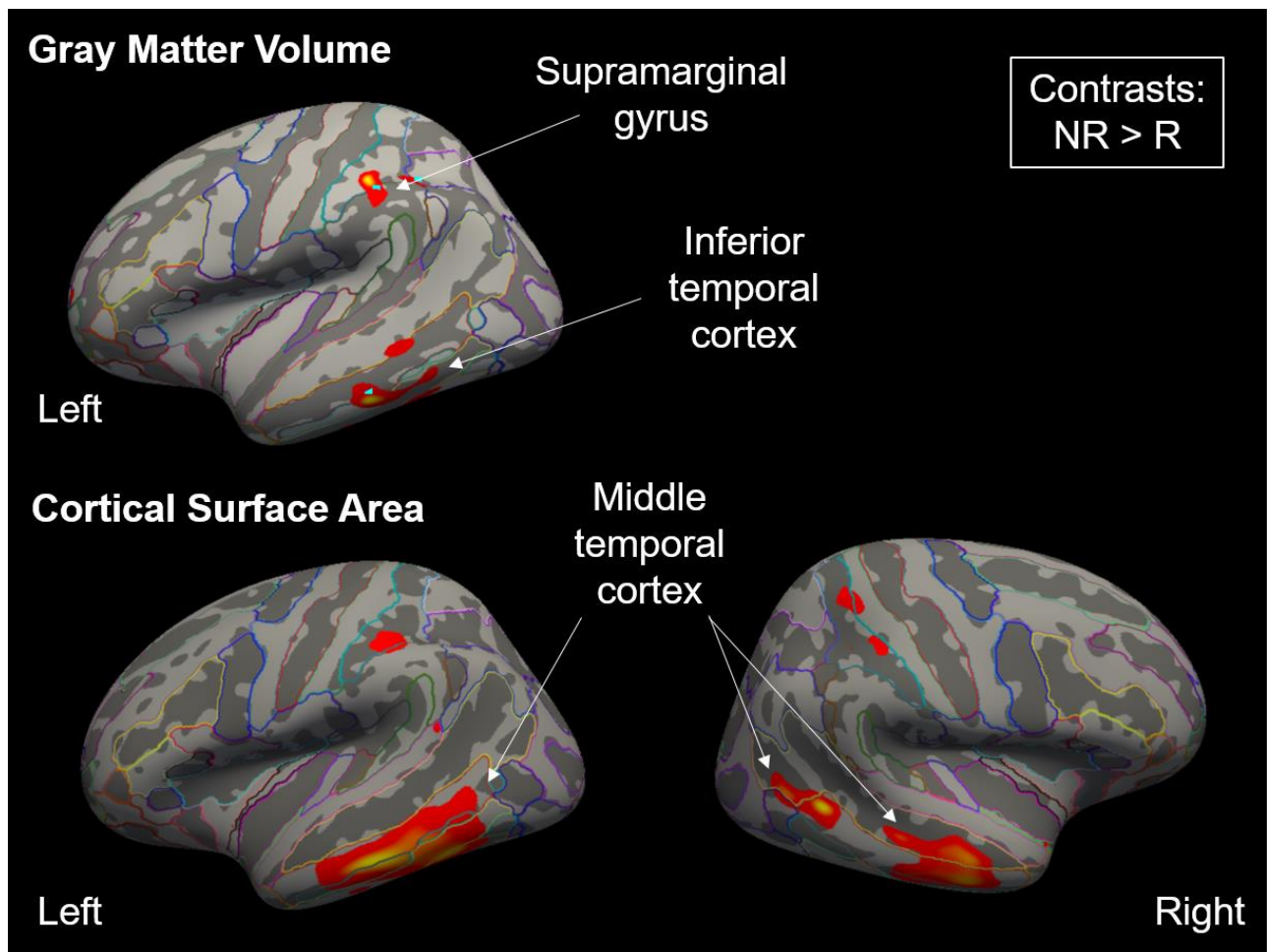


Figure 2. Significant clusters for gray matter volume and cortical surface area when comparing placebo analgesia non-responders (NR) to responders (R), displayed as a heatmap. Clusters were significant for NR > R, while the opposite contrasts did not show significant differences. Colorful outlines show parcellation using the Destrieux atlas. When re-running the whole-brain GLMs using total intracranial volume as a covariate, no result remained significant at the chosen cluster-wise correction level.

472 **4**

Discussion

473 The aim of the present study was to investigate potential differences in psychological traits and
 474 brain structure between placebo analgesia responders and non-responders, using a mixed
 475 confirmatory and exploratory approach and focusing on social psychological factors. Prior
 476 research on the link between psychological characteristics and placebo responding has found few
 477 reliable results. In order to provide more clarity and robust results, the current study intended to
 478 overcome the limitations of earlier studies by using a larger sample size and stable context factors.
 479 Our findings revealed significant differences in empathy-related trait constructs, with placebo
 480 responders reporting increased prosocial tendencies, and lower psychopathic traits compared to non-
 481 responders. We also observed higher pain-related empathic concern in responders vs. non-
 482 responders, although this did not withstand correction for multiple comparisons. Additionally, the
 483 neuroimaging data demonstrated smaller gray matter volume and smaller cortical surface area in
 484 placebo analgesia responders in supramarginal gyrus, as well as inferior and middle temporal gyrus.

485 Regarding the behavioral data, placebo responders self-report indicated they display more
486 prosocial and less psychopathic traits, compared to non-responders. Furthermore, responders reported
487 higher empathic concern for the pain of others (uncorrected), while we did not find such group
488 differences in our other measures of general empathy. Interestingly, the pain-specific empathic
489 responses were related to feeling compassion and the need to help others. Even though, this result
490 was non-significant after correcting for multiple comparisons, our results are in line with previous
491 literature on the relationship between these concepts, showing a positive relationship between
492 compassion and prosociality (Karnaze et al., 2022), as well as a negative relationship between social
493 emotions or behavior and psychopathy (Van Dongen, 2020). However, it is important to note that
494 these three results were the only significant ones out of a total of 25 conducted tests. When correcting
495 for 22 empathy-related tests, the empathic concern scale did not reach statistical significance
496 anymore. It is also interesting to note that the other two findings were adjacent but different
497 constructs, prosocial behavior and psychopathic traits. These data thus give hints which
498 questionnaires might be worth further investigation in future studies with larger samples.

499 The placebo response is a highly complex phenomenon, which is based on a multitude of
500 factors such as person, situation, and/or context. Past research demonstrated that depending on the
501 used paradigm/context, results could vary greatly, and in some cases, even lead to a reversed
502 association between personality traits and the placebo response. For instance, a negative relationship
503 between empathy and the benefit of the placebo treatment was found in a stress paradigm (Darragh et
504 al., 2014), contrasting the frequently reported positive relationship in pain paradigms (Colloca and
505 Benedetti, 2009b; Hunter et al., 2014). Similar observations have also been reported for several other
506 personality traits, such as extraversion and optimism (Darragh et al., 2014). Overall, the link between
507 personality and placebo responding is not straightforward but instead might be modulated by
508 environmental factors such as context and/or situation. Thus, it seems highly probable that,
509 depending on the context, different personality traits become more or less relevant for the placebo
510 response (Whalley et al., 2008; Darragh et al., 2015). In our case, pain-related empathic traits appear
511 to be characteristics in which placebo responders differ from non-responders specifically inside of
512 highly similar pain paradigms. However, this study is the first that included a pain-specific empathy
513 measure so far; therefore, this preliminary assumption needs further investigation. Nevertheless, in
514 order to harness the full potential of the “placebo personality”, e.g., to utilize personality traits in
515 order to predict future placebo responding, it might be beneficial to match personality traits and the
516 context of placebo administration.

517 By systematically including context factors, future studies could further elucidate the question
518 under which circumstances, which personality traits are important for the placebo response.
519 Moreover, it could help identify traits which are stable across different contexts and traits which are
520 changing depending on the context. One such context factor to consider is the relationship quality
521 between healthcare practitioner and patient. It has repeatedly been shown to have a significant
522 influence on the placebo response, whereby factors such as empathy, warmth, communication of
523 positive expectations, and duration of interaction enhance the magnitude of the placebo response
524 (Kaptchuk et al., 2008; Kelley et al., 2009). As none of our included studies measured this variable,
525 this hypothesis remains speculative and future studies could for example measure both patients’ and
526 clinicians’ empathic abilities and investigate their additive vs. distinct association with social
527 abilities.

528 In relation to this, our findings also have implications for the translation of artificial lab
529 experiments to real-world settings such as the doctor’s office, where individuals may have different
530 motivations (pleasing the experimenter vs. getting help) or need for relief (e.g., no prior complaints
531 vs. symptom reduction). Future studies in this field should thus shift focus towards answering the

532 question, whether predictors of placebo responsiveness in the lab can be readily transferred to the real
533 world, and if not, how they can be made more useful. The answer to this question may have profound
534 consequences for future research.

535 In accordance with Coll et al. (2017) we consider empathy as the product of two processes,
536 namely identification of another's emotional state (emotion identification) and sharing the other's
537 emotional state (affect sharing). Even though the amount of empathy an individual has towards
538 another is highly context dependent, there is interindividual variability in how well these two
539 processes are performed. For example, one might demonstrate a lower empathic response due to
540 inadequate emotion identification or due to a limited ability to share the affective state of another.
541 Consequently, in this conceptual frame, some individuals can have higher trait empathy than others
542 by performing better at identifying and sharing another's affective state. Now, our present results
543 imply a positive effect of higher empathy levels, and higher prosocial tendencies in general, on
544 placebo responsiveness. So why could higher prosocial traits lead to enhanced placebo responses?
545 First of all, it needs to be considered that empathy plays an essential role in building relationships.
546 Additionally, the quality of the physician-patient relationship is of particular importance for the
547 treatment outcome. In this respect, a study has demonstrated that the physician's ability to empathize
548 with the patient in combination with the patients' dispositional optimism led to significantly
549 improved health outcomes in chronic pain patients (Cánovas et al., 2018). Furthermore, higher
550 interpersonal trust in the dyadic physician patient relationship has demonstrated similar positive
551 effects (Birkhäuser et al., 2017; Wu et al., 2022). Since earlier lines of research have linked higher
552 dispositional optimism to higher placebo responsiveness (Geers et al., 2010; Morton et al., 2009) we
553 suggest that these positive effects on the placebo response could be explained by the overall
554 emotional valence of the dyadic interaction: traits which have beneficial effects on interpersonal
555 interactions, such as empathy, prosocial traits, optimism, and the absence of obstructive factors (e.g.,
556 psychopathy) favor an emotional environment, which facilitates the elicitation of high placebo
557 responses. Moreover, the better the match between personality traits and contextual factors (e.g., pain
558 study paradigm), the higher the chance for a placebo response. In line with this argument, using
559 participants' empathy for other people's pain might be an interesting avenue for predicting their
560 individual hypoalgesic response to a placebo. However, it is important to be cautious with this
561 interpretation as the empathy results were non-significant after correction for multiple comparisons
562 and this should be regarded as preliminary, and in need of replication and extension.

563 Regarding the brain data, we found smaller gray matter volume and smaller cortical surface
564 area in placebo analgesia responders compared to non-responders in the supramarginal gyrus, as well
565 as the inferior and middle temporal gyrus. Given that placebo responses are the
566 result of complex central nervous system mechanisms, including expectancies and different types of
567 learning processes (Benedetti, 2013), we assumed that measures of brain structure would be able
568 to distinguish placebo responders from non-responders. However, the found regions do not match
569 with the few previous studies, which highlight a positive correlation of gray matter density in brain
570 areas such as the dorsolateral prefrontal cortex, insula, and nucleus accumbens and placebo analgesic
571 effects (Schweinhardt et al., 2009).

572 Earlier studies suggest that larger cortical surface area is related to higher overall
573 neurocognitive performance whereas smaller cortical surface area is associated with problems in
574 executive functioning (Gutiérrez-Galve et al., 2010; Hartberg et al., 2011; Tamnes et al., 2015;
575 Tadayon et al., 2020). This can be explained by the circumstance that a greater cortical surface area
576 corresponds to a more convoluted sulcal shape with a greater amount of corticocortical connections,
577 which drives local processing. This might increase the performance of brain function and improve
578 cortical computation (Im et al., 2008). Our placebo non-responders demonstrated higher cortical

579 surface area in several regions compared to placebo responders, which somewhat contradicts our
580 prior assumptions. However, the observed increase in cortical surface area in non-responders could
581 represent an underlying opposing mechanism, which hampers the formation of placebo responses.
582 Thus, higher cortical surface area in these regions could be associated with lower expectancies,
583 empathy (as demonstrated in our results), and overall traits which are detrimental for the placebo
584 response, leading to lower placebo responses. What has to be noted, though, is the absence of
585 typically found regions related to the neural basis of empathy. Previous work highlights negative
586 associations of general empathic traits with gray matter volume in precuneus inferior frontal gyrus,
587 anterior cingulate cortex (Banissy et al., 2012) as well as anterior insula (Li et al., 2020). We, on the
588 other hand, found structural brain differences between responders and non-responders in middle and
589 inferior temporal areas. Thus, the relationship of placebo responsiveness and empathy on the neural
590 level will have to be investigated further in the future.

591 It is possible that limitations might have influenced our results and therefore, a number of
592 shortcomings should be acknowledged. One caveat of the present study is that our study was
593 exploratory, and that some of the analyses do not withstand correction for multiple comparisons, and
594 the brain results do not hold when correcting for total intracranial volume (TIV). This means that
595 our brain differences could also be the result of inherent brain size differences between the groups.
596 As our two groups differed significantly in their TIV, inclusion of this variable as a covariate
597 becomes difficult. Interestingly, exploratory analyses showed that brain results differed when
598 including gender as a covariate. Moreover, including scanner as a covariate changed the resulting
599 clusters, while identifying similar regions largely located in the temporal cortex. Both of these
600 analyses highlight the need to include them as a covariate in future studies (for gender effects in
601 placebo research see e.g., Enck & Klosterhalfen, 2019). Especially because of this, replication and
602 theoretical extension of our findings is needed. Even when using a pooling approach spanning
603 multiple studies and thus increasing the sample size, like the one used here, power may still be too
604 low to detect these subtle differences using stricter corrections. Future work in this field should
605 therefore focus on using a much larger sample of participants, for example data repositories like the
606 Human Connectome Project (<https://www.humanconnectome.org/>) and NeuroVault
607 (<https://neurovault.org/>), or collaborate in multi-lab studies (see e.g. the ManyLabs projects) to
608 investigate this and similar research questions.

609 Furthermore, we used a convenience sample, pooling data from four of our own lab's placebo
610 studies. An effort towards validation and generalization of the present findings could be achieved by
611 including placebo analgesia studies from multiple labs and even countries. The included studies all
612 come from the field of placebo analgesia research and utilized a similar study design, yet for the
613 present research question, this design could be deficient itself, as it assessed the placebo response
614 only once. For the interpretation of our results, the placebo response was seen as a stable trait of an
615 individual. In other words, subjects who responded to the placebo, are expected to demonstrate this
616 response in the future as well. Several papers have addressed this issue and suggest temporal
617 stability, given that contextual factors remain stable (Whalley et al., 2008; Ashar et al., 2017). Since
618 the temporal stability of the placebo response is an important prerequisite to harness the response for
619 other purposes (e.g., the adjustment of a medical treatment based on an individual's tendency to
620 respond to a placebo), future studies should aim to address this matter in their study designs.
621 Relatedly, we chose to employ a-priori non-responder classification criteria of the included studies as
622 opposed to pain ratings. Future work should investigate direct comparisons of certain criteria and
623 which ones, alone or in combination, best predict placebo (non)responding. Lastly, using previously
624 collected convenience samples meant that not all studies obtained the same trait measures, which is
625 why sample sizes differ in our questionnaire analyses, and especially results from smaller samples
626 should be interpreted with caution until independently replicated.

627 As evident from the present findings, it remains a challenge to narrow down on a few selected
628 predictive criteria that are able to predict the placebo response. Nevertheless, our study adds valuable
629 information on additional possible social characteristics contributing to the existing evidence. We
630 also propose that placebo responder research needs to move away from the intention to identify
631 single traits which are exclusively related to the magnitude of the placebo response and towards a
632 larger context. Future studies should pursue an interactional approach, by including context-specific
633 factors as well as a large range of psychological and biological characteristics. In this way, context-
634 specific placebo responder patterns can be identified.

635 Overall, the present study does not provide clear-cut generalizable evidence but draws a
636 heterogenous picture in terms of placebo responder characteristics. Our results broaden the
637 understanding of placebo responder characteristics inside of pain contexts and additionally, we
638 highlight new directions towards social emotions and behavior for exploring differences between
639 responders and non-responders. Importantly, we also provide important hints where future studies
640 could look for biomarkers regarding placebo responsiveness in the brain. While the question “Who
641 responds to placebos?” is still only partly answered, the present study brings us one step closer to
642 answering this crucial question, and paves the way for a better identification of placebo responders in
643 clinical contexts.

644 **5 Conflict of Interest**

645 The authors declare that the research was conducted in the absence of any commercial or financial
646 relationships that could be construed as a potential conflict of interest.

647 **6 Author Contributions**

648 **HH:** Conceptualization, Methodology, Software, Formal analysis, Investigation, Data Curation,
649 Writing - Original Draft, Writing - Review & Editing, Supervision, Project administration, Funding
650 acquisition, Visualization; **MB:** Methodology, Software, Formal analysis, Investigation, Data
651 Curation, Writing - Original Draft, Writing - Review & Editing, Visualization; **FR:**
652 Conceptualization, Methodology, Investigation, Writing - Review & Editing, Supervision, Project
653 administration; **CL:** Conceptualization, Resources, Writing - Review & Editing, Supervision,
654 Funding acquisition.

655 **7 Funding**

656 The present study was financially supported by the uni:docs scholarship of the University of Vienna,
657 the Marietta-Blau scholarship of the Agency for Education and Internationalisation Austria (OeaD)
658 (both to HH), and the Austrian Science Fund (FWF W1262-B29 and P32686 to CL). None of the
659 funders had any role in study design, data collection and analysis, interpretation, writing or decision
660 to publish.

661 **8 Acknowledgments**

662 We thank Markus Rütgen and Isabella Wagner for providing us with the data of their respective
663 studies. We also thank Anna Thallinger, Lisa Schabhüttl and Benedikt Steininger for helping with the
664 manual quality checks of the structural brain data. We thank Patrick Friedrich for insightful
665 conversations regarding the reporting and discussion of our results.

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903 10 Data Availability Statement

904 The dataset, analysis code, and Benjamini-Hochberg correction for this study can be found in the
 905 corresponding OSF project (https://osf.io/nwgdj/?view_only=d3d8e54e20f4453fba0ea10b878bb0f3).

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907 **11 Tables**

Table 1

Descriptive overview of study samples and measures.

Study	Sample			Questionnaires								
	<i>n</i>	R	NR	IRI	QCAE	BDI	VPQ	ECQ	EPS	SD3	HAS	T1
1	63	49	14	x								x
2	37	27	10	x	x	x		x				x
3	74	53	21	x	x	x	x	x	x			x
4	63	52	11	x	x	x	x			x	x	
Total	237	181	56	237	174	174	137	111	74	63	63	173 ^a

Note. R = placebo analgesia responder; NR = placebo analgesia non-responder; IRI = Interpersonal Reactivity Index; QCAE = Questionnaire of Cognitive and Affective Empathy; BDI = Beck Depression Inventory; ECQ = Empathy Components Questionnaire; EPS = Empathy for Pain Scale; VPQ = Vicarious Pain Questionnaire; SD3 = Short Dark Triad; HAS = Helping Attitudes Scale; T1 = T1-weighted structural image. ^aAfter exclusion of one participant due to excessive movement.

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Table 2

Exclusion criteria of each study.

Exclusion Criterion	Study			
	1	2	3	4
Non-German speaker	x		x	x
Years of age < 18 or > 35		x	x	x
Past or present enrollment in psychology, pharmaceuticals, or medicine studies		x ^a	x	x ^b
Participation in similar studies (e.g., pain, empathy, or placebo studies)		x		x
Non right-handedness	x	x	x ^c	x
Past or present medical conditions interfering with current pain sensitivity		x	x	x
Neurological or psychiatric conditions	x	x	x	x
Past or present self-injurious behavior			x	
Past or present alcohol misuse	x	x	x	x
Past or present drug misuse	x	x	x	x
Psychopharmacological medication within the last 3 months (apart from oral contraceptives)			x	x
Lactose intolerance (due to the placebo pill containing lactose)		x		
Non MR-safe (e.g., implants, claustrophobia, or pregnancy)	x	x	x	

Note. ^a This study included bachelor psychology students in their first semester. ^b This study only included enrolled students. ^c This study additionally excluded people with a weakness to distinguish left from right.

Table 3
Group comparisons of personality/questionnaire scores of placebo responders and non-responders.

Questionnaire Subscale	R			NR			<i>t</i> (<i>df</i>)	<i>p</i>	BH	95% CI		Cohen's <i>d</i>	95% CI	
	<i>n</i>	<i>M</i>	<i>SD</i>	<i>n</i>	<i>M</i>	<i>SD</i>				<i>LL</i>	<i>UL</i>		<i>LL</i>	<i>UL</i>
ECQ ^{2,3}														
Affective Ability	80	14,96	2,46	31	14,23	2,84	-1.27 (48.42)	.209	,011	-1,90	0,43	0,28	-0,71	0,14
Affective Drive	80	13,22	1,82	31	12,9	1,3	-1.04 (76.22)	.302	,020	-0,94	0,30	0,20	-0,60	0,20
Affective Reactivity	80	21,4	2,88	31	21	3,79	-0.53 (44.16)	.598	,032	-1,92	1,12	0,12	-0,61	0,30
Cognitive Ability	80	18,73	2,41	31	18,06	2,52	-1.26 (52.66)	.215	,014	-1,72	0,40	0,27	-0,67	0,15
Cognitive Drive	80	16,09	2,19	31	16,03	2,01	-0.13 (59.36)	.900	,045	-0,93	0,82	0,03	-0,45	0,41
EPS ³														
Affective Distress	53	2,35	0,72	21	2,27	0,77	-0.45 (34.48)	.656	,036	-0,48	0,31	0,12	-0,69	0,42
Empathic Concern	53	3,56	0,71	21	2,99	0,89	-2.62 (30.65)	.013	,002	-1,01	-0,13	0,71	-1,47	-0,17
Vicarious Pain	53	1,95	0,87	21	1,8	0,75	-0.75 (42.39)	.459	,027	-0,56	0,26	0,19	-0,74	0,27
IRI ¹⁻⁴														
Empathic Concern	181	19,8	4,73	56	18,41	5,08	-1.82 (86.52)	.073	,007	-2,91	0,13	0,28	-0,60	0,00
Fantasy	181	18,81	5,8	56	18,82	5,23	0.01 (100.50)	.991	,050	-1,62	1,64	0,00	-0,31	0,32
Personal Distress	181	11,45	4,85	56	10,68	5,01	-1.02 (89.21)	.311	,023	-2,29	0,74	0,16	-0,45	0,14
Perspective Taking	181	19,12	4,85	56	18,21	4,74	-1.24 (93.46)	.219	,018	-2,35	0,55	0,19	-0,48	0,12
QCAE ²⁻⁴														
Emotional Contagion	132	10,7	2,4	42	10,43	2,76	-0.58 (61.89)	.563	,030	-1,22	0,67	0,11	-0,48	0,24
Online Simulation	132	27,23	4,05	42	26,24	4,02	-1.40 (69.60)	.167	,009	-2,42	0,43	0,25	-0,63	0,10
Peripheral Responsivity	132	10,77	2,77	42	11,21	2,52	0.98 (74.90)	.330	,025	-0,46	1,36	-0,17	-0,15	0,53
Proximal Responsivity	132	11,61	2,28	42	10,71	2,7	-1.95 (60.83)	.056	,005	-1,82	0,02	0,36	-0,76	-0,01
Perspective Taking	132	30,77	4,25	42	30,36	5,11	-0.48 (60.11)	.635	,034	-2,16	1,33	0,09	-0,45	0,26
VPQ ^{3,4}														
Intensity	86	3,56	1,95	24	3,6	2,05	0.10 (35.44)	.921	,048	-0,90	1,00	-0,02	-0,43	0,45
Localized-generalized	104	-2,32	5,05	31	-2,1	4,74	0.22 (52.04)	.824	,043	-1,75	2,20	-0,05	-0,36	0,49
Regularity	82	4,06	1,85	25	3,44	2,26	-1.25 (34.48)	.219	,016	-1,63	0,39	0,30	-0,84	0,16
Sensory-affective	105	-0,73	10,65	32	-1,31	8,67	-0.31 (62.14)	.756	,039	-4,28	3,12	0,06	-0,46	0,31
Total pain response	105	5,01	4,51	32	4,72	4,81	-0.30 (48.79)	.763	,041	-2,22	1,64	0,06	-0,52	0,37
HAS ⁴														
Total Score	52	78,06	8,98	11	68,64	9,74	-2.95 (13.83)	.011	---	-16,27	-2,57	1,01	-1,83	-0,41
SD3 ⁴														
Psychopathy	52	17,9	4,22	11	22,64	4,52	3.19 (13.92)	.007	---	1,55	7,92	-1,08	0,45	2,01
BDI ²⁻⁴														

Total Score	132	6,45	6,29	42	6,69	5,72	0.23 (75.14)	.821	---	-1,83	2,31	-0,04	-0,32	0,39
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Note. R = placebo analgesia responder; NR = placebo analgesia non-responder; IRI = Interpersonal Reactivity Index; QCAE = Questionnaire of Cognitive and Affective Empathy; BDI = Beck Depression Inventory; ECQ = Empathy Components Questionnaire; EPS = Empathy for Pain Scale; VPQ = Vicarious Pain Questionnaire; SD3 = Short Dark Triad; HAS = Helping Attitudes Scale; CI = confidence interval; BH = Benjamini-Hochberg critical value. Superscript numbers refer to the studies where a certain questionnaire was used.

Table 4

Structural brain differences for the contrast placebo analgesia non-responders > responders without covariates.

Measure and brain region	h	VtxMax	size	x	y	z	p	CI (p)
GMV								
Inferior temporal	L	120836	338.40	-54.0	-33.6	-16.9	.003	[.002, .004]
Supramarginal	L	123297	249.71	-44.3	-32.2	34.6	.017	[.013, .017]
CSA								
Middle temporal	R	22997	1259.90	61.3	-14.3	-21.9	< .001	[< .0001, .0004]
Middle temporal	R	91021	452.16	57.9	-57.4	5.0	.010	[.008, .012]
Middle temporal	L	49559	2389.60	-59.7	-28.6	-16.8	< .001	[< .0001, .0004]
CT								
No significant clusters								

Note. Significant clusters separate for gray matter volume (GMV), cortical surface area (CSA) and cortical thickness (CT), including hemisphere h, vertex number at maximum (VtxMax), cluster surface area in mm² (size), MNI coordinates x, y, z, cluster-wise p-value (threshold of $p < .05$, vertex-wise criterion for statistical significance at $p < .001$, two-sided) and the 90% confidence intervals (CI) of that p-value.